

STATUTORY FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT ("FAIS") DISCLOSURE

STATUTORY DISCLOSURE NOTICE IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 37 OF 2002 ("FAIS ACT") AND THE GENERAL CODE OF CONDUCT FOR AUTHORISED FINANCIAL SERVICES PROVIDERS AND REPRESENTATIVES of GNT Capital PTY LTD relating to the provision of financial services.

Statutory Disclosure in terms of the Financial Advisory and Intermediary Services Act No. 37 of 2002 ('FAIS'):

Name of FSP	GNT Capital PTY LTD
Legal Status of FSP	Private Company incorporated in accordance with the Companies Act, 71 of 2008.
Registration number	2016/218894/07
Trading as	GNT Capital
FSP License Number	51065
Category of License	Category I Financial Services Provider.
Physical Address	Unit 7 31 First Avenue East, Parktown North, Johannesburg, Gauteng, 2193
Postal Address	Unit 7 31 First Avenue East, Parktown North, Johannesburg, Gauteng, 2193
Telephone	+27 10 142 5212
Fax number	
Email	contact@gntcapital.com
Website	www.gntcapital.com .

GNT Capital is authorised by the FSCA to render financial services under Category I in respect of the products and services indicated below, subject to any licence conditions imposed by the FSCA.

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Advice Description	Category	Advice	Intermediary
CATEGORY I			
Securities and Instruments: Shares		X	X
Securities and Instruments: Derivative instruments		X	X

Key Individual:

Lauren Bruce is the appointed Key Individual of GNT Capital responsible for ensuring compliance with the FAIS Act, the General Code of Conduct and applicable regulatory requirements.

Authorised Representative:

Jesus Rodolfo Guerra Garcia is an appointed Representative of GNT Capital and is authorised to render financial services on behalf of the FSP within the scope of the FSP's authorised licence categories, subject to the FAIS Act, the General Code of Conduct and applicable regulatory requirements.

GNT Capital accepts legal responsibility for all financial services rendered by its Representatives acting within the scope of their authority and mandate, in accordance with section 13 of the FAIS Act and the applicable provisions of the General Code of Conduct.

Conflicts of Interest:

GNT Capital has adopted and maintains a Conflict of Interest Policy in accordance with the requirements of Chapter 11 of the General Code of Conduct for Authorised Financial Services Providers and Representatives. The policy identifies potential conflicts of interest, measures to mitigate such conflicts, and mechanisms to ensure fair treatment of clients. The Conflict of Interest Policy is available upon request and/or on the FSP's website.

Complaints:

In the event that you are dissatisfied with any aspect of the financial services provided by GNT Capital or its Representatives, you may submit a written complaint to GNT Capital at the address provided above.

Should you wish to pursue a complaint against the representative of the FSP itself, you should address your complaint in writing to Lauren Bruce, a registered key person of GNT Capital again at the address shown at the beginning of this document.

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GNT Capital maintains a formal Complaints Management Framework in accordance with the requirements of the FAIS Act and applicable regulatory requirements. Clients may request a copy of the Complaints Management Policy.

If you cannot settle your complaint with us, you are entitled to refer it to the FAIS Ombud. The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that may have been given to you.

FAIS OMBUD CONTACT DETAILS:	
Postal address	PO Box 74571 Lynnwood Ridge 0040
Telephone	+27 12 762 5000
Fax number	
Physical Address	Menlyn Central Office Building 125 Dallas Avenue Waterkloof Glen Pretoria
Email	info@faisombud.co.za
Website	http://www.faisombud.co.za

Compliance:

The Group Compliance Function is responsible for monitoring compliance with the FAIS Act, the General Code of Conduct and other applicable regulatory requirements applicable to GNT Capital. The Compliance Department can be contacted at: Unit 7 31 First Avenue East, Parktown North, Johannesburg, Gauteng, 2193, or by e-mail at: compliance@gntcapital.com.

General:

GNT Capital holds professional indemnity insurance cover. Such insurance is maintained in accordance with applicable regulatory requirements.

Please note that, during the account opening process, you will be requested to acknowledge receipt of this document, confirming that you have received, reviewed and understood the disclosure information

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contained herein. We therefore request that you read this document carefully prior to proceeding with the account opening process.

GNT Capital is committed to the principles of Treating Customers Fairly ("TCF") and seeks to ensure that clients receive fair outcomes throughout the financial services relationship.

Protection of Personal Information

GNT Capital processes client personal information in accordance with the Protection of Personal Information Act, 4 of 2013 ("POPIA") and applicable data protection requirements.

Risk Disclosure Notice

Clients should note that investments in derivative instruments involve a significant degree of risk and may not be suitable for all investors. Clients should carefully consider their investment objectives, financial situation and risk tolerance before entering into derivative transactions. Clients may sustain losses exceeding their initial investment depending on the nature of the derivative product and applicable contractual arrangements.

No Guarantee of Performance

GNT Capital does not guarantee investment performance or returns and past performance is not indicative of future results.